

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

| OMB APPROVAL                                 |           |
|--|-----------|
| OMB Number:                                  | 3235-0287 |
| Estimated average burden hours per response: | 0.5       |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|  |   |   |
|--|---|---|
| 1. Name and Address of Reporting Person*<br><u>Dubner Derek</u><br><br>(Last) (First) (Middle)<br>C/O RED VIOLET, INC.<br>2650 N. MILITARY TRAIL, SUITE 300<br><br>(Street)<br>BOCA RATON FL 33431<br><br>(City) (State) (Zip) | 2. Issuer Name and Ticker or Trading Symbol<br><u>Red Violet, Inc. [ RDVT ]</u> | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)<br><input checked="" type="checkbox"/> Director 10% Owner<br><input checked="" type="checkbox"/> Officer (give title below) <input checked="" type="checkbox"/> Other (specify below)<br><u>CEO / Chairman of Board</u> |
|  | 3. Date of Earliest Transaction (Month/Day/Year)<br><u>11/28/2023</u>           |   |
|  | 4. If Amendment, Date of Original Filed (Month/Day/Year)                        |   |

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) |            |                       | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|-----------------------|---|--|---|
|                                 |                                      |  | Code                           | V | Amount  | (A) or (D) | Price                 |   |  |   |
| Common Stock                    | 11/28/2023                           |  | A <sup>(1)</sup>               |   | 23,334  | A          | (1)                   | 557,512 <sup>(2)(3)</sup>   | D  |   |
| Common Stock                    | 11/28/2023                           |  | F <sup>(4)</sup>               |   | 9,882   | D          | \$20.3 <sup>(4)</sup> | 547,630 <sup>(2)(3)</sup>   | D  |   |
| Common Stock                    | 11/30/2023                           |  | A                              |   | 40,000 <sup>(5)</sup>   | A          | (5)                   | 587,630 <sup>(2)(3)(5)</sup>  | D  |   |

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----|--|-----------------|---|--|--|---|--|
|  |  |                                      |  | Code                           | V | (A)  | (D) | Date Exercisable   | Expiration Date |   |  |  |   |  |

**Explanation of Responses:**

- Represents the third vesting of 10,000 restricted stock units (RSUs) of the grant originally approved by the Company's Compensation Committee on November 20, 2020 and the first vesting of 13,334 RSUs of the grant originally approved by the Company's Compensation Committee on October 12, 2022.
- Includes 10,833 RSUs originally granted on September 24, 2021, convertible into common stock of the issuer on a one-for-one basis, which vests on October 1, 2024, subject to accelerated vesting under certain conditions.
- Includes 26,666 RSUs originally granted on October 12, 2022, convertible into common stock of the issuer on a one-for-one basis, which vest in two equal installments on each of November 1, 2024 and November 1, 2025, subject to accelerated vesting under certain conditions.
- Represents the disposition to issuer for tax obligations upon the vesting of RSUs.
- On November 30, 2023, the reporting person received a grant of 40,000 RSUs convertible into common stock of the issuer on a one-for-one basis, which vest in three equal installments on each of December 1, 2024, December 1, 2025 and December 1, 2026, subject to accelerated vesting under certain circumstances.

**Remarks:**

/s/ Derek Dubner 11/30/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.