## SEC Form 5

Instruction 1(b)

# FORM 5

Form 3 Holdings Reported.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

I

| OMB Number:              | 3235-0362 |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |
| hours per response       | : 1.0     |  |  |  |  |  |

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL **OWNERSHIP** 

| Form 4 Transaction   | ons Reported.  | Filed                | pursuant to Sec<br>or Section 30(I                                  |                  |                 | xchange Act of 1934<br>ly Act of 1940 | ł  |  |         |              |         |
|--|--|----------------------|---|------------------|-----------------|---------------------------------------|--|--|---------|--------------|---------|
| 1. Name and Address of Reporting Person <sup>*</sup><br>Dubner Derek |  |                      | 2. Issuer Name and Ticker or Trading Symbol Red Violet, Inc. [RDVT] |                  |                 |                                       | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>X Director 10% Owner |  |         |              |         |
| (Last)<br>C/O RED VIOLI<br>2650 N. MILITA                            | · · · · · · · · · · · · · · · · · · ·  | (Middle)<br>UITE 300 | 3. Statement fo<br>12/31/2022                                       | or Issuer's Fisc | al Year Ended   | I (Month/Day/Year)                    | X Officer (give title X Other (<br>below) CEO / Chairman of Board                                  |  |         |              | specify |
| (Street)<br>BOCA RATON<br>(City)                                     | FL<br>(State)  | 33431<br>(Zip)       | 4. If Amendme   | nt, Date of Ori  | ginal Filed (Mo | onth/Day/Year)                        | 6. Indiv<br>Line)<br>X   | ridual or Joint/Grou<br>Form filed by On<br>Form filed by Mo<br>Person | e Repor | rting Persor | 1       |
|  | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                      |   |                  |                 |                                       |  |  |         |              |         |
|  |  |                      |   |                  |                 |                                       |  |  | •       | <b>-</b>     |         |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Dav/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) | 4. Securities Acquired (A) or Disposed<br>Of (D) (Instr. 3, 4 and 5) |               |                              | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end of | 6.<br>Ownership<br>Form: Direct<br>(D) or | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--|---|---|--|---------------|------------------------------|---|---|---|
|                                 |  | (WOITH/Day/Tear)  | 8)                                      | Amount   | (A) or<br>(D) | Price                        | Issuer's Fiscal   | Indirect (I)<br>(Instr. 4)                | (Instr. 4)  |
| Common Stock                    | 12/23/2022                                 |   | G                                       | 500  | D             | <b>\$0.00</b> <sup>(1)</sup> | 562,100 <sup>(2)(3)(4)</sup>                                  | D   |   |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 1. Title of 3. Transaction 3A. Deemed 5. Number 7. Title and 8. Price of 9. Number of 11. Nature 10. 4. Transaction Code (Instr. 8) Amount of Securities Underlying Derivative Security Expiration Date (Month/Day/Year) Derivative Security Ownership Form: of Indirect Beneficial Conversion Dat Execution Date derivative or Exercise Derivative (Month/Day/Year) if any Securities Direct (D) (Instr. 3) Price of Derivative (Month/Dav/Year) Securities (Instr. 5) Beneficially Ownership Acquired Derivativ Owned or Indirect (Instr. 4) (A) or Disposed Security (Instr. 3 and 4) Following (I) (Instr. 4) Security Reported Transaction(s) of (D) (Instr. 3, 4 (Instr. 4) and 5) Amount Number Date Expiration of (D) (A) Exercisable Date Title Shares

### Explanation of Responses:

1. This transaction represented a gift/charitable donation effective December 23, 2022, to a qualified educational institution. This is not a market transaction, thus no price has been reported. No value was received for the gifted shares.

2. Includes 10,000 RSUs originally granted on November 20, 2020, convertible into common stock of the issuer on a one-for-one basis, which vests on November 1, 2023, subject to accelerated vesting under certain conditions.

3. Includes 21,666 RSUs originally granted on September 24, 2021, convertible into common stock of the issuer on a one-for-one basis, which vest in two equal installments on each of October 1, 2023 and October 1, 2024, subject to accelerated vesting under certain conditions.

4. Includes 40,000 RSUs originally granted on October 12, 2022, convertible into common stock of the issuer on a one-for-one basis, which vest in three equal installments on each of November 1, 2023, November 1, 2024 and November 1, 2025, subject to accelerated vesting under certain conditions

#### Remarks:

/s/ Derek Dubner

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date

