SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre	1 0	son*	2. Issuer Name and Ticker or Trading Symbol Red Violet, Inc. [RDVT]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner			
(Last) C/O RED VIOL	(First) ET, INC.	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 10/05/2023	X Officer (give title X Other (specify below) below) CEO / Chairman of Board			
2650 N. MILITARY TRAIL, SUITE 300		ITE 300	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)			
(Street)				X Form filed by One Reporting Person			
BOCA RATON	FL	33431		Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication				
			Check this box to indicate that a transaction was made pursuant t satisfy the affirmative defense conditions of Rule 10b5-1(c). See I				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	10/05/2023		A ⁽¹⁾		10,833	Α	(1)	562,100 ⁽²⁾⁽³⁾⁽⁴⁾	D	
Common Stock	10/05/2023		F ⁽⁵⁾		4,588	D	\$19.63 ⁽⁵⁾	557,512 ⁽²⁾⁽³⁾⁽⁴⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) o Dispo of (D (Insti	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ate	7. Titl Amou Secur Unde Deriv Secur 3 and	int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents the second vesting of 10,833 restricted stock units (RSUs) of the grant originally approved by the Company's Compensation Committee on September 24, 2021.

2. Includes 10,000 RSUs originally granted on November 20, 2020, convertible into common stock of the issuer on a one-for-one basis, which vests on November 1, 2023, subject to accelerated vesting under certain conditions.

3. Includes 10,833 RSUs originally granted on September 24, 2021, convertible into common stock of the issuer on a one-for-one basis, which vests on October 1, 2024, subject to accelerated vesting under certain conditions.

4. Includes 40,000 RSUs originally granted on October 12, 2022, convertible into common stock of the issuer on a one-for-one basis, which vest in three equal installments on each of November 1, 2023, November 1, 2024 and November 1, 2025, subject to accelerated vesting under certain conditions.

5. Represents the disposition to issuer for tax obligations upon the vesting of RSUs.

Remarks:

/s/ Derek Dubner

10/09/2023 ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.