SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287							
Estimated average burden								
hours per response	. 0.5							

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol <u>Red Violet, Inc.</u> [RDVT]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Dubner Derek		X Director 10% Owner					
(Last) (First) (Middle)	3. Date of Earliest Transaction (Month/Day/Year)	X Officer (give title X Other (specify below)					
C/O RED VIOLET, INC.	11/04/2022	CEO / Chairman of Board					
2650 N. MILITARY TRAIL, SUITE 300							
(Street)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
BOCA RATON FL 33431		X Form filed by One Reporting Person Form filed by More than One Reporting					
(City) (State) (Zip)		Person					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	11/04/2022		A ⁽¹⁾		10,000	Α	(1)	566,835 ⁽²⁾⁽³⁾⁽⁴⁾	D	
Common Stock	11/04/2022		F ⁽⁵⁾		4,235	D	\$16.9 ⁽⁵⁾	562,600 ⁽²⁾⁽³⁾⁽⁴⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(0) 1					• •							
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents the second vesting of 10,000 restricted stock units (RSUs) of the grant originally approved by the Company's Compensation Committee on November 20, 2020.

2. Includes 10,000 RSUs originally granted on November 20, 2020, convertible into common stock of the issuer on a one-for-one basis, which vests on November 1, 2023, subject to accelerated vesting under certain conditions.

3. Includes 21,666 RSUs originally granted on September 24, 2021, convertible into common stock of the issuer on a one-for-one basis, which vest in two equal installments on each of October 1, 2023 and October 1, 2024, subject to accelerated vesting under certain conditions.

4. Includes 40,000 RSUs originally granted on October 12, 2022, convertible into common stock of the issuer on a one-for-one basis, which vest in three equal installments on each of November 1, 2023, November 1, 2024 and November 1, 2025, subject to accelerated vesting under certain conditions.

5. Represents the disposition to issuer for tax obligations upon the vesting of RSUs.

Remarks:

/s/ Derek Dubner

11/08/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.