SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

I

OMB Number:	3235-0287								
Estimated average burden									
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Instruction 1(b).	continue. See		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934	L	hours per response: 0.5			
			or Section 30(h) of the Investment Company Act of 1940					
1. Name and Addre Reilly James	1 0	rson*	2. Issuer Name and Ticker or Trading Symbol <u>Red Violet, Inc.</u> [RDVT]		all applicable Director Officer (give	e)	on(s) to Issuer 10% Owner Other (speci	
(Last) C/O RED VIOL	(First) ET, INC.	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/20/2020		below)	President	below)	
2650 N. MILITA	ARY TRAIL, SU	JITE 300						
(Ctro at)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indivi Line)	dual or Joint/	Group Filing ((Check Applica	able
(Street)	T7I	33431		X	Form filed b	y One Report	ting Person	
BOCA RATON FL 33431		55451			Form filed b Person	by More than (One Reporting	J
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D) Price		Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	11/20/2020		A		30,000 ⁽¹⁾	A	(1)	234,573	D	
Common Stock								91,666 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

		-	(* 37)**	,			,					/			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		n of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Form:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. On November 20, 2020, the reporting person received a grant of 30,000 restricted stock units ("RSUs"), convertible into common stock of the issuer on a one-for-one basis, which vest in three equal installments on each of November 1, 2021, November 1, 2022, and November 1, 2023, subject to accelerated vesting under certain conditions.

2. Represents the third tranche of the performance- and time-based grant originally approved by the Company's Compensation Committee on September 5, 2018, which shall vest on July 1, 2021, subject to accelerated vesting under certain conditions.

Remarks:

<u>/s/ James Reilly</u>

** Signature of Reporting Person

11/24/2020 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See