## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB Number:	3235-0287								
Estimated average burden									
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1. Name and Address of Reporting Person <sup>*</sup> BENZ PETER			2. Issuer Name <b>and</b> Ticker or Trading Symbol Red Violet, Inc. [RDVT]		tionship of Reporting Per all applicable) Director	rson(s) to Issuer 10% Owner		
(Last) C/O RED VIOL	· · · · · · · · · · · · · · · · · · ·	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 10/12/2022		Officer (give title below)	Other (specify below)		
2650 N. MILITARY TRAIL, SUITE 300			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street)				X	Form filed by One Rep	orting Person		
BOCA RATON	FL	33431			Form filed by More tha Person	n One Reporting		
(City)	(State)	(Zip)						

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	10/12/2022		A		10,800(1)	A	(1)	84,266 <sup>(1)(2)(3)</sup>	D	
Common Stock								20,928	I	Shares held by Reporting Person's 401(k) Account

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

L	(e.g., puts, calls, warrants, options, convertible securities)															
	1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

**Explanation of Responses:** 

1. On October 12, 2022, the reporting person received a grant of 10,800 restricted stock units ("RSUs"), convertible into common stock of the issuer on a one-for-one basis, which vest in approximately three equal installments on each of November 1, 2023, November 1, 2024 and November 1, 2025, subject to accelerated vesting under certain conditions. The RSU grant includes an award of 5,000 RSUs for serving as a member of the Company's Board of Directors, 4,000 RSUs for serving on the Audit Committee and 1,800 RSUs for serving as the Chair of the Audit Committee.

2. Includes 5,933 RSUs convertible into common stock originally granted on September 24, 2021. The RSUs vest in approximately two equal installments on October 1, 2023 and October 1, 2024, subject to accelerated vesting under certain conditions.

3. Includes 4,666 RSUs originally granted on November 20, 2020. The RSUs vest in approximately two equal installments on each of November 1, 2022, and November 1, 2023, subject to accelerated vesting under certain conditions

**Remarks:** 

/s/ Peter Benz

\*\* Signature of Reporting Person Date

10/13/2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.