### SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* BENZ PETER	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Red Violet, Inc.</u> [ RDVT ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) (First) (Middle) C/O RED VIOLET, INC.	3. Date of Earliest Transaction (Month/Day/Year) 11/20/2020	Officer (give title Other (specify below) below)				
2650 N. MILITARY TRAIL, SUITE 300	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street)		X Form filed by One Reporting Person				
BOCA RATON FL 33431		Form filed by More than One Reporting Person				
(City) (State) (Zip)						

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	11/20/2020		A		7,000 <sup>(1)</sup>	A	(1)	77,166 <sup>(1)(2)(3)(4)</sup>	D	
Common Stock								20,928	Ι	Shares held by Reporting Person's 401(k) Account

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

	(e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(S) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

#### **Explanation of Responses:**

1. On November 20, 2020, the reporting person received a grant of 7,000 restricted stock units ("RSUs"), convertible into common stock of the issuance on a one-for-one basis, which vest in

approximately three equal installments on each of November 1, 2021, November 1, 2022, and November 1, 2023, subject to accelerated vesting under certain conditions.

2. Includes 8,333 RSUs convertible into common stock originally granted on October 28, 2019. The RSUs vest in two equal installments on each of September 1, 2021 and September 1, 2022, subject to accelerated vesting under certain conditions.

3. Includes 8,333 RSUs convertible into common stock originally granted subject to both certain performance- and time-based criteria on September 5, 2018. As of June 30, 2019, the issuer deemed the performance criteria had been met. As a result of the meeting the performance criteria, the remaining RSUs vest on July 1, 2021, subject to accelerated vesting under certain conditions.

4. Includes 4,000 RSUs convertible into common stock originally granted on March 29, 2018. The RSUs vest on March 29, 2021, subject to accelerated vesting under certain conditions.

Remarks:

/s/ Peter Benz

11/24/2020 \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.